

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

07/16/2003 DTESSEM1 00000072 141263 10618134

01 FC:1001	750.00 DA
02 FC:1202	486.00 DA
03 FC:1203	280.00 DA

Adjustment date: 11/18/2003 EEKUBAY1
07/16/2003 DTESSEM1 00000072 141263 10618134
01 FC:1001 750.00 CR
02 FC:1202 486.00 CR
03 FC:1203 280.00 CR

11/18/2003 EEKUBAY1 00000020 141263 10618134

01 FC:2001	375.00 DA
02 FC:2202	243.00 DA
03 FC:2203	140.00 DA

PTO-1556
(5/87)



Dep & Ref
Room 307

Docket No. 100725-37/Kreisler 1108-KGB

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO. : 10/618,134
APPLICANT : Prof. Dr. Gerold SCHULER et al
GROUP : To Be Assigned
EXAMINER : To Be Assigned
FILED : July 11, 2003
FOR : CD4⁺ DC25⁻ T Cells and Tr1-like Regulatory T Cells

October 27, 2003

Commissioner for Patents
Office of Finance
Refund Section, Accounting Division
P.O. Box 1450
Arlington, VA 22313

OFFICE OF FINANCE
REFUND BRANCH
2003 NOV 13 AM 7:50
US PATENT & TRADEMARK
OFFICE

REQUEST FOR REFUND

I. REFUND REQUEST

This is a request for a refund, with respect to the charge to Deposit Account No. 14-1263, shown on the statement dated July 2003, for the above identified patent application. A copy of the monthly statement accompanies this request.

II. FEES CHARGED FOR WHICH REFUND REQUESTED

☒ Filing fee

DATE	SEQ	POSTING REF	ATTORNEY DOCKET NBR	FEE CODE	AMT
07/16	123	10618134	100725-37 / KREISLER 1108	1001	\$750.00

☐ Surcharge for filing the basic filing fee on a date later than the filing fee of the application
(37 CFR § 1.16(e))
and/or

Application No. 10/618,134
Applicant: Prof. Dr. Gerold SCHULER et al
Request for Refund

☐ Surcharge for filing the oath or declaration on a date later than the filing date of the application (37.CFR § 1.16(e))

☐ Extension of the term for first month

☒ **Excess Claims**

DATE	SEQ	POSTING REF	ATTORNEY DOCKET NBR	FEE CODE	AMT
07/16	124	10618134	100725-37 / KREISLER 1108	1202	\$486.00

☒ **Multiple Dependent Claims**

DATE	SEQ	POSTING REF	ATTORNEY DOCKET NBR	FEE CODE	AMT
07/16	125	10618134	100725-37 / KREISLER 1108	1203	\$280.00

☐ Other-

The above charges were charged for a large entity and should have been charged as a small entity. (Filing Fee = \$375; Excess Claims (27 @ \$9/ea) = 243; and Multiple Dependent Claims = \$140)

TOTAL REFUND REQUESTED \$758.00

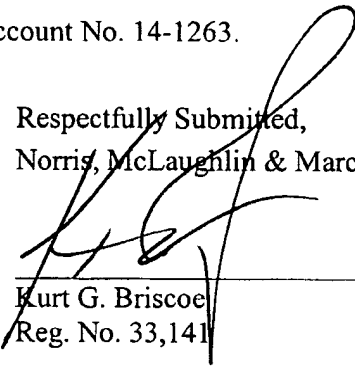
III. EXPLANATION OF WHY CONTESTED CHARGE IS IN ERROR

Applicant is entitled to small entity status.

IV. MANNER OF REFUND

Please make refund by crediting Deposit Account No. 14-1263.

Respectfully Submitted,
Norris, McLaughlin & Marcus P.A.



Kurt G. Briscoe
Reg. No. 33,141

220 East 42nd Street, 30th Floor
New York, N.Y. 10017
Telephone: (212) 808-0700
Telecopier: (212) 808-0844

Application No. 10/618,134
Applicant: Prof. Dr. Gerold SCHULER et al
Request for Refund

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below:

Date: 10-27-03

By  _____



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Deposit Account Statement

Requested Statement Month: July 2003
 Deposit Account Number: 141263
 Name: NORRIS, MCLAUGHLIN & MARCUS
 Attention: WILLIAM R. ROBINSON
 Address: P.O. BOX 1018
 City: SOMERVILLE
 State: NJ
 Zip: 08876-1018

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/01	2	09807094	MO-6283/WW-5	1252	\$410.00	\$4,223.00
07/01	3	09807094	MO-6283/WW-5	1401	\$320.00	\$3,903.00
07/01	32	PAYMENT		9203	-\$20,000.00	\$23,903.00
07/01	54	5073630		2553	\$1,575.00	\$22,328.00
07/01	195	10311296	101769-188/TESAAG1571KGB	8021	\$40.00	\$22,288.00
07/01	352	10363272	102792-74	1617	\$130.00	\$22,158.00
07/02	9	10247012	101221-639	8021	\$40.00	\$22,118.00
07/02	10	10258654	101195-77	1460	\$130.00	\$21,988.00
07/02	74	10247012		8021	\$40.00	\$21,948.00
07/02	278	09809931	101216-14	1501	\$1,300.00	\$20,648.00
07/02	279	09809931	101216-14	1504	\$300.00	\$20,348.00
07/02	445	10451557	101371-21	2611	\$530.00	\$19,818.00
07/03	2	09763679	K&W305-WCG	1252	\$410.00	\$19,408.00
07/03	5	09807294	MO-6277/WW-5	1252	\$410.00	\$18,998.00
07/03	6	09807294	MO-6277/WW-5	1401	\$320.00	\$18,678.00
07/03	7	09800369	WW05568-US	1501	\$1,300.00	\$17,378.00
07/03	8	09800369	WW05568-US	1504	\$300.00	\$17,078.00
07/03	9	09800369	WW05568-US	8001	\$6.00	\$17,072.00
07/03	9	09445065	BEIERSDORF	1251	\$110.00	\$16,962.00
07/03	10	10073619	BAYER10,098.	1501	\$1,300.00	\$15,662.00
07/03	10	09445065	BEIERSDORF	1801	\$750.00	\$14,912.00
07/03	11	10073619	BAYER10,098.	1504	\$300.00	\$14,612.00
07/03	12	10073619	BAYER10,098.	8001	\$6.00	\$14,606.00
07/03	13	09957222	BERERSDORF74	1501	\$1,300.00	\$13,306.00
07/03	14	09957222	BERERSDORF74	1504	\$300.00	\$13,006.00
07/03	15	09957222	BERERSDORF74	8001	\$6.00	\$13,000.00
07/03	16	09403191	METAL 1273	1501	\$1,300.00	\$11,700.00
07/03	17	09403191	METAL 1273	1504	\$300.00	\$11,400.00

07/03 18	09403191	METAL 1273	8001	\$6.00	\$11,394.00
07/03 19	09631011	101141-8	2501	\$650.00	\$10,744.00
07/03 270	10311296	101769-188/TESAAG1571KGB	1617	\$130.00	\$10,614.00
07/03 271	10311296	101769-188/TESAAG1571KGB	1251	\$110.00	\$10,504.00
07/03 273	10312517	102180-6/SCHNICKEL 204-KG	2617	\$65.00	\$10,439.00
07/03 5556	10333709		8021	\$40.00	\$10,399.00
07/07 1	09701710		1401	\$320.00	\$10,079.00
07/07 2	09577294	BEIERSDORF 6	1253	\$820.00	\$9,259.00
07/07 268	10167535	13580-006002	1501	\$1,300.00	\$7,959.00
07/07 269	10167535	13580-006002	1504	\$300.00	\$7,659.00
07/07 270	10167535	13580-006002	8001	\$9.00	\$7,650.00
07/07 743	78270871	101196-58	7001	\$335.00	\$7,315.00
07/08 2	09673268	STUDIEN 276-	2252	\$205.00	\$7,110.00
07/08 3	09673268	STUDIEN 276-	2202	\$207.00	\$6,903.00
07/08 21	PAYMENT		9203	-\$20,000.00	\$26,903.00
07/08 41	5071025		1553	\$3,150.00	\$23,753.00
07/08 77	09624096	13580-034001	1402	\$320.00	\$23,433.00
07/09 15	10381069	102792-79	8021	\$40.00	\$23,393.00
07/09 19	10344016		8021	\$40.00	\$23,353.00
07/09 25	10613551	102126-30	1001	\$750.00	\$22,603.00
07/09 340	29181590		8021	\$40.00	\$22,563.00
07/09 342	29179889	102792-118	8021	\$40.00	\$22,523.00
07/09 353	10414942		8021	\$40.00	\$22,483.00
07/10 1	10343185		1617	\$130.00	\$22,353.00
07/10 5	09720960	MO-6082/WW-5	1501	\$1,300.00	\$21,053.00
07/10 6	09720960	MO-6082/WW-5	8001	\$6.00	\$21,047.00
07/10 7	09705551	674-KGB	1501	\$1,300.00	\$19,747.00
07/10 7	09890667	101195-56	2251	\$55.00	\$19,692.00
07/10 8	09705551	674-KGB	8001	\$6.00	\$19,686.00
07/10 9	09284369	BEIERSDORF 5	1501	\$1,300.00	\$18,386.00
07/10 10	09284369	BEIERSDORF 5	8001	\$6.00	\$18,380.00
07/10 11	08847395	101769-4/TES	1501	\$1,300.00	\$17,080.00
07/10 12	08847395	101769-4/TES	8001	\$6.00	\$17,074.00
07/10 13	09780783	100717-495/B	1501	\$1,300.00	\$15,774.00
07/10 14	09780783	100717-495/B	1504	\$300.00	\$15,474.00
07/10 14	76356279		6003	\$100.00	\$15,374.00
07/10 15	75717624	101369-2	7004	\$150.00	\$15,224.00
07/10 15	09780783	100717-495/B	8001	\$6.00	\$15,218.00
07/10 35	09928630	C36368125381	1251	\$110.00	\$15,108.00
07/10 52	10076827	TESA AG1515-	1806	\$180.00	\$14,928.00
07/10 82	1781132	101293-69	7205	\$300.00	\$14,628.00
07/10 83	1781132	101293-69	7201	\$1,200.00	\$13,428.00
07/10 204	10362062	102792-42	8021	\$40.00	\$13,388.00
07/10 209	78098332		6005	\$100.00	\$13,288.00
07/10 415	76439428	101177-17	7001	\$670.00	\$12,618.00
07/11 1	09132799	BEIERSDORF-5	1401	\$320.00	\$12,298.00
07/11 2	09132799	BEIERSDORF-5	1251	\$110.00	\$12,188.00
07/11 3	09750984	687-WCG	1252	\$410.00	\$11,778.00

07/11 15	10615206	101769-14/TESA 469.5-KGB	1001	\$750.00	\$11,028.00
07/11 16	09249710	BEIERSDORF 4	1253	\$930.00	\$10,098.00
07/11 18	10014099	KREISLER1097	2251	\$55.00	\$10,043.00
07/11 41	PAYMENT		9203	-\$20,000.00	\$30,043.00
07/11 46	6004408		1551	\$890.00	\$29,153.00
07/11 49	08849525	BEIERSDORF43	1814	\$110.00	\$29,043.00
07/11 53	5478197		2552	\$1,025.00	\$28,018.00
07/11 154	09828514	BAYER 10,147.2-HCL	1814	\$110.00	\$27,908.00
07/11 155	09828514	BAYER 10,147.2-HCL	1814	\$110.00	\$27,798.00
07/11 171	10185523	HERAEUS 384.1-WCG/P09901	8021	\$40.00	\$27,758.00
07/11 207	10373470	100717-588	1001	\$750.00	\$27,008.00
07/11 1093	76385744	102115-8	7004	\$150.00	\$26,858.00
07/14 3	09700102	664-KGB	2252	\$205.00	\$26,653.00
07/14 6	10363794		1617	\$130.00	\$26,523.00
07/14 7	10404194		8021	\$40.00	\$26,483.00
07/14 99	60485969	100725-45	1005	\$160.00	\$26,323.00
07/14 141	09249710		8021	\$40.00	\$26,283.00
07/14 142	09988546		8021	\$40.00	\$26,243.00
07/14 143	10192146		8021	\$40.00	\$26,203.00
07/14 144	10192808		8021	\$40.00	\$26,163.00
07/14 145	5928747		8021	\$40.00	\$26,123.00
07/14 146	6335068		8021	\$40.00	\$26,083.00
07/14 147	6086973		8021	\$40.00	\$26,043.00
07/14 176	78089294	82100-001C	7003	\$100.00	\$25,943.00
07/14 189	78089294	82100-001C	7004	\$150.00	\$25,793.00
07/14 299	75742625	101177-8	7003	\$100.00	\$25,693.00
07/15 1	10009922	BEIERSDORF75	1252	\$410.00	\$25,283.00
07/15 5	10030195	BAYER 10,228	1253	\$930.00	\$24,353.00
07/15 137	60486230	101221-685	1005	\$160.00	\$24,193.00
07/15 433	09672724	BEIL WOLFF 2	1501	\$1,300.00	\$22,893.00
07/15 434	09672724	BEIL WOLFF 2	8001	\$6.00	\$22,887.00
07/16 1	09852870		1814	\$110.00	\$22,777.00
07/16 88	60454859	102792-80	1052	\$50.00	\$22,727.00
07/16 89	60452150	102792-13	1052	\$50.00	\$22,677.00
07/16 90	60452150	102792-13	1251	\$110.00	\$22,567.00
07/16 123	10618134	100725-37 / KREISLER 1108	1001	\$750.00	\$21,817.00
07/16 124	10618134	100725-37 / KREISLER 1108	1202	\$486.00	\$21,331.00
07/16 125	10618134	100725-37 / KREISLER 1108	1203	\$280.00	\$21,051.00
07/16 278	10250877	100717-601/BAYER	1613	\$900.00	\$20,151.00
07/16 279	10250877	100717-601/BAYER	1614	\$84.00	\$20,067.00
07/16 641	78274757	101313-15	7001	\$335.00	\$19,732.00
07/16 917	76299806	16291-342	7004	\$150.00	\$19,582.00
07/17 1	10017157	756-BSL/KGB	1253	\$930.00	\$18,652.00
07/17 1	09786635	BAYER10,131-	1251	\$110.00	\$18,542.00
07/17 2	09786926	101195-45	1253	\$930.00	\$17,612.00
07/17 396	10466051	102792-152 (ANP)	1613	\$900.00	\$16,712.00
07/17 397	10466051	102792-152 (ANP)	8021	\$40.00	\$16,672.00
07/17 399	10466050	102792-150	1613	\$900.00	\$15,772.00

07/17 400	10466050	102792-150	8021	\$40.00	\$15,732.00
07/17 400	10402188		8021	\$40.00	\$15,692.00
07/18 1	09902055	BEIERSDORF	1251	\$110.00	\$15,582.00
07/18 9	PAYMENT		9203	-\$20,000.00	\$35,582.00
07/18 42	10620168	103048-3	1001	\$750.00	\$34,832.00
07/18 193	78275585	101194-70	7001	\$670.00	\$34,162.00
07/18 268	09988546		1501	\$1,300.00	\$32,862.00
07/18 269	09988546		1504	\$300.00	\$32,562.00
07/18 270	09988546		8001	\$6.00	\$32,556.00
07/18 271	09915837	BEIERSDORF73	1501	\$1,300.00	\$31,256.00
07/18 272	09915837	BEIERSDORF73	1504	\$300.00	\$30,956.00
07/18 273	09915837	BEIERSDORF73	8001	\$6.00	\$30,950.00
07/18 277	10466133	102792-151	1613	\$900.00	\$30,050.00
07/18 278	10466133	102792-151	1615	\$162.00	\$29,888.00
07/21 1	09868269	K&W 307-WCG	1801	\$750.00	\$29,138.00
07/21 9	OVRPYMT		9204	-\$3,150.00	\$32,288.00
07/22 27	76038211		6005	\$100.00	\$32,188.00
07/22 37	09959043	101215-71	1806	\$180.00	\$32,008.00
07/22 159	10622625	BAYER 10,253-WCG	1001	\$750.00	\$31,258.00
07/22 160	10622625	BAYER 10,253-WCG	1202	\$18.00	\$31,240.00
07/22 1129	76238369	26064-003	7004	\$450.00	\$30,790.00
07/23 75	10623241	100723-14/BEIL WOLFF 29-K	1001	\$750.00	\$30,040.00
07/23 95	10623245	101188-54	2001	\$375.00	\$29,665.00
07/23 118	09619904	101211-2	1501	\$1,300.00	\$28,365.00
07/23 119	09674372	101195-36	2501	\$650.00	\$27,715.00
07/23 120	09744494	BEIERSDORF70	1501	\$1,300.00	\$26,415.00
07/23 121	09744494	BEIERSDORF70	8001	\$6.00	\$26,409.00
07/23 122	09284701	METAL 1269	1501	\$1,300.00	\$25,109.00
07/23 123	09284701	METAL 1269	8001	\$6.00	\$25,103.00
07/23 124	10025062	757-WCG	1501	\$1,300.00	\$23,803.00
07/23 125	10025062	757-WCG	8001	\$6.00	\$23,797.00
07/23 126	10025062	757-WCG	1504	\$300.00	\$23,497.00
07/23 131	09995348	383-WCG	1806	\$180.00	\$23,317.00
07/23 144	10425337		8021	\$40.00	\$23,277.00
07/24 1	10333729		8021	\$40.00	\$23,237.00
07/24 2	08822427	BEIERSDORF42	1252	\$410.00	\$22,827.00
07/24 2	09582493	2866.100/00	2801	\$375.00	\$22,452.00
07/24 4	10076827	TESA AG1515-	1814	\$110.00	\$22,342.00
07/24 12	10333865		8021	\$40.00	\$22,302.00
07/24 790	1783784	101293-189	7205	\$100.00	\$22,202.00
07/24 791	1783784	101293-189	7201	\$400.00	\$21,802.00
07/25 4	10362062	102792-42	1617	\$130.00	\$21,672.00
07/25 5	10381069	102792-79	1617	\$130.00	\$21,542.00
07/25 28	09600564	KREISLER 108	1252	-\$410.00	\$21,952.00
07/25 60	29179895	102792-119	1051	\$130.00	\$21,822.00
07/25 412	10466667	METAL 1311-WCG	1613	\$900.00	\$20,922.00
07/25 445	10031656	101371-6	2501	\$650.00	\$20,272.00
07/25 646	78278795	101293-191	7001	\$335.00	\$19,937.00

07/25 733	78278839	101293-190	7001	\$335.00	\$19,602.00
07/25 754	78097168	101293-101	7002	\$100.00	\$19,502.00
07/25 776	78128134	101293-124	7002	\$100.00	\$19,402.00
07/28 4	10362318		1609	\$720.00	\$18,682.00
07/28 6	10380497	102790-141	1617	\$130.00	\$18,552.00
07/28 255	10333865	GE BAYER 1605-WCG	1617	\$130.00	\$18,422.00
07/28 256	10333865	GE BAYER 1605-WCG	1618	\$130.00	\$18,292.00
07/28 257	10333729	GE BAYER 1607-WCG :GEBS 2	1617	\$130.00	\$18,162.00
07/28 258	10333729	GE BAYER 1607-WCG :GEBS 2	1618	\$130.00	\$18,032.00
07/29 1	09641013	BEIERSDORF-6	1453	\$1,300.00	\$16,732.00
07/29 1	10021627	EV015941274U	1801	\$750.00	\$15,982.00
07/29 2	09844805	A13748/12365	1251	\$110.00	\$15,872.00
07/29 3	09844805	A13748/12365	1801	\$750.00	\$15,122.00
07/29 13	10312427	102180-7/SCHNICKEL 205-KG	2617	\$65.00	\$15,057.00
07/29 110	10627541	BEIRSDORF 700.1-HCL	1001	\$750.00	\$14,307.00
07/29 916	78280068	103151-3	7001	\$670.00	\$13,637.00
07/30 31	PAYMENT		9203	-\$20,000.00	\$33,637.00
07/30 131	09781516	BAYER 9203.4	1501	\$1,300.00	\$32,337.00
07/30 132	09781516	BAYER 9203.4	8001	\$6.00	\$32,331.00
07/30 133	09781516	BAYER 9203.4	1504	\$300.00	\$32,031.00
07/30 134	10198471	102460-3	2501	\$650.00	\$31,381.00
07/30 135	10198471	102460-3	1504	\$300.00	\$31,081.00
07/30 135	10411058		8021	\$40.00	\$31,041.00
07/30 136	09838092	101621-2	1501	\$1,300.00	\$29,741.00
07/30 137	09838092	101621-2	1504	\$300.00	\$29,441.00
07/30 138	09644516		1501	\$1,300.00	\$28,141.00
07/30 139	09644516		8001	\$6.00	\$28,135.00
07/30 140	09807250	MO-6279/WW-5	1501	\$1,300.00	\$26,835.00
07/30 141	09807250	MO-6279/WW-5	8001	\$6.00	\$26,829.00
07/30 141	10257726		8021	\$40.00	\$26,789.00
07/30 150	10628725	TESA AG 1611-WCG	1001	\$750.00	\$26,039.00
07/30 152	6507636		8021	\$40.00	\$25,999.00
07/31 1	09857076	K&W306-WCG	1254	\$1,450.00	\$24,549.00
07/31 5	10343808		8021	\$40.00	\$24,509.00
07/31 5	09943032	BERERSDORF 7	1253	\$930.00	\$23,579.00
07/31 5	09831566	STUDIEN282-K	2252	\$205.00	\$23,374.00
07/31 6	09831566	STUDIEN282-K	2401	\$160.00	\$23,214.00
07/31 14	10628778	102132-14	2001	\$375.00	\$22,839.00
07/31 30	10628786	101769-231	1001	\$750.00	\$22,089.00
07/31 52	10628828	100727-53/HERACUS405.KGB	1001	\$750.00	\$21,339.00
07/31 102	10629380	101384-22	2001	\$375.00	\$20,964.00
07/31 103	10629380	101384-22	2201	\$84.00	\$20,880.00
07/31 104	10629380	101384-22	2203	\$140.00	\$20,740.00
07/31 141	29179864		8021	\$40.00	\$20,700.00
07/31 242	06485448	10075234BDF210	8013	\$25.00	\$20,675.00
07/31 411	10470138	GE BAYER 1609	1613	\$900.00	\$19,775.00
07/31 414	10470137	101215-138	2613	\$450.00	\$19,325.00

START	SUM OF
BALANCE	CHARGES
\$4,633.00	\$88,868.00

SUM OF	END
REPLENISH	BALANCE
\$103,560.00	\$19,325.00

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